

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC § 371**

DATE:

January 18, 2004.

ATTORNEY DOCKET NUMBER

59997US004

U.S. APPLICATION NO.

(if known, see 37 CFR § 1.5)

INTERNATIONAL APPLICATION NO.
PCT/US2004/025277INTERNATIONAL FILING DATE
05/08/2004PRIORITY DATE CLAIMED
05/08/2003

TITLE OF INVENTION

FORMULATIONS CONTAINING AN IMMUNE RESPONSE MODIFIER

APPLICANT(S) FOR DO/EO/US

David M. HAMMERBECK; Cynthia A. GUY; Suzanne S. LEUNG;

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 USC § 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC § 371.
3. This is an express request to begin national examination procedures (35 USC § 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected (Article 31).
5. A copy of the International Application as filed (35 USC § 371(c)(2)).
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 USC § 371(c)(2)).
 - a. is attached hereto
 - b. has been previously submitted under 35 USC § 154(d)(4)
7. Amendments to the claims of the International Application under PCT Article 19 (35 USC § 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau
 - c. have not been made; however, the time limit for making such amendments has NOT expired
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 USC § 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 USC § 371 (c)(4)).
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC §§ 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR §§ 1.97 and 1.98.
12. An assignment document for recording is being filed concurrently via EPAS.
13. A preliminary amendment.
14. An Application Data Sheet under 37 CFR § 1.76.
15. A substitute specification.
16. A power of attorney and/or change of address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13(ter.2 and 37 CFR §§ 1.821 – 1.825).
18. A second copy of the published international application under 35 USC § 154(d)(4).
19. A second copy of the English language translation of the international application under 35 USC § 154(d)(4).
20. Other items or information:

U.S. APPLN. NO. (if known, see 37 CFR § 1.5):	INTERNATIONAL APPN NO.: PCT/US2004/025277	ATTORNEY'S DOCKET NO.: 59997US004																																						
The following fees are submitted:		Calculations PTO Use Only																																						
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))	\$300	300.00																																						
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))																																								
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)(4).....	\$0																																							
All other situations.....	\$200	200.00																																						
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))																																								
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)(4).....	\$0																																							
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority	\$100	100.00																																						
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....	\$400																																							
All other situations.....	\$500																																							
		600.00																																						
TOTAL OF 21, 22 and 23=		\$600.00																																						
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																																								
SHEET CALCULATION <table border="1"> <thead> <tr> <th>NUMBER FILED</th> <th>EXTRA</th> <th>RATE</th> <th>Fees</th> </tr> </thead> <tbody> <tr> <td>Size Fee for each add'l 50 sheets of appln. over 100</td> <td>126</td> <td>-100</td> <td>\$250.00</td> </tr> <tr> <td></td> <td></td> <td>26</td> <td>\$250.00</td> </tr> <tr> <td colspan="3"></td> <td>\$0.00</td> </tr> <tr> <td colspan="3">Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR § 1.492(e)).</td> <td></td> </tr> </tbody> </table>					NUMBER FILED	EXTRA	RATE	Fees	Size Fee for each add'l 50 sheets of appln. over 100	126	-100	\$250.00			26	\$250.00				\$0.00	Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR § 1.492(e)).																			
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<input type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27. The fees indicated above are reduced by 1/2.																																								
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Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR § 1.492(f)).																																								
TOTAL NATIONAL FEE = \$1,000.00																																								
Fee for recording the enclosed assignment (37 CFR § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §§ 3.28, 3.31). \$40.00 per property																																								
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Amount to be refunded: Amount to be charged: \$1,000.00																																								
a. <input checked="" type="checkbox"/> Fees in the amount of \$1,000.00 will be paid EFS-Web at the time of filing. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 13-3723 in the amount of \$1,000.00 to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment to Deposit Account No. 13-3723																																								
NOTE: Where an appropriate time limit under 37 CFR § 1.495 has not been met, a petition to revive (37 CFR § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																								
Signature 																																								
Name: TED K. RINGSRED	Registration Number: 35,658																																							
Address: Office of Intellectual Property Counsel 3M Innovative Properties Company P.O. Box 33427 St. Paul, Minnesota 55133-3427																																								